

Hospital Mortality and Trainee Experiences: How General Medical Council Survey Findings Correlate With Summary Hospital-Level Mortality Indicator

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Objectives: This study aimed to investigate whether components of junior doctor satisfaction are associated with patient mortality within the United Kingdom.

Methods: We conducted a cross-sectional study of publicly available data (the General Medical Council [GMC] National Survey and the Summary Hospital-level Mortality Indicator [SHMI]) pertaining to subjective physician trainee satisfaction and patient mortality within 80 United Kingdom-based healthcare institutions. The direction and strength of correlation between components of the GMC National Survey and relative patient mortality as described by the SHMI were calculated. Additional outcomes included mean GMC survey scores for reported domains and mean SHMI by healthcare institution.

Results: SHMI for included healthcare institutions ranged from 0.69 to 1.21 (mean [SD], 1.01 [0.1]). Mean GMC domain scores ranged between 44.61 and 88.62 (mean [SD], 71.16 [10.84]). Statistically significant correlations were observed for clinical supervision, clinical supervision out of hours, rota design, overall satisfaction, and teamwork. After application of Bonferroni correction, statistically significant correlations remained for both clinical supervision and clinical supervision out of hours.

Conclusions: There is a significant association between components of subjective trainee satisfaction and patient mortality within the United Kingdom. Further investigation to examine these relationships, perhaps to target intervention, may prevent avoidable patient harm.

Key Words: patient safety, trainee satisfaction, General Medical Council survey, Summary Hospital-level Mortality Indicator, mortality, clinical supervision

(*J Patient Saf* 2023;19: 79–85)

The 2018 “Caring for doctors Caring for patients” General Medical Council (GMC) review¹ comprehensively outlined the implications of poor workplace satisfaction on patient safety, providing a stark warning for NHS providers.¹ The review not only suggested that workplace satisfaction was associated with patient outcomes, but that up to 50% of physicians within the United Kingdom were dissatisfied to a point that they were considering leaving their respective organizations.¹ Unfortunately, the COVID-19 pandemic has hindered an effective response to this review while also worsening physician dissatisfaction and mental health issues.²

Physician trainees provide both great insight into, and form a key component of, patient safety. However, although physician dissatisfaction is exacerbated by poor working conditions,³ the data to support a direct association between this and worsened patient outcomes are limited in both quantity and quality. There are only a handful of high-quality analyses examining the influence of workplace satisfaction on patient outcomes such as harm and mortality.^{4,5} Although the evidence base relating specifically to physician burnout and patient safety incidents is stronger.⁶

The GMC national training survey is an annual survey distributed to all physician trainees within the United Kingdom. Questions address 18 domains including supervision, education, training, and safety. It is used by arm’s length bodies to highlight areas of concern for trainees,^{7,8} as well as for quality monitoring and assessment. The uptake is high, most recently at 76% for UK trainees, so it is seen as a relatively accurate barometer of trainee satisfaction year on year.⁹ The Summary Hospital-level Mortality Indicator (SHMI) reports the ratio of actual number of patient deaths during or within 30 days after hospitalization, compared with expected deaths, calculated from mean figures for all NHS trusts and their patient population characteristics.¹⁰ SHMI is calculated using hospital episode statistics and death registration data from the Office of National Statistics. In 2020, it was used to identify 12 NHS Foundation Trusts for potential intervention because of a higher-than-expected mortality rate. Both the GMC national training survey and SHMI provide departmental and hospital-level data, respectively, allowing for the assessment of local, regional, and national relationships between the variables reported. Analysis of these data

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Funding Source: There was no funding source for this study.

Contributor Statement: All authors contributed to project conceptualization, methodology, and manuscript development. A.E.C., J.C.B., and D.R. contributed to data collection. J.C.B. and D.R. contributed to data curation, statistical analysis, and data visualization. J.R. supervised all stages of the project.

J.C.B. acts as project guarantor and accepts full responsibility for the work and/or the conduct of the study, has access to the data, and controlled the decision to publish.

The corresponding author attests that all listed authors meet the authorship criteria and that no others meeting the criteria have been omitted.

All authors declare no support from any organization for the submitted work; no financial relationships with any organizations that might have an interest in the submitted work in the previous 3 years; no other relationships or activities that could appear to have influenced the submitted work.

Supplemental digital contents are available for this article. Direct URL citations appear in the printed text and are provided in the HTML and PDF versions of this article on the journal’s Web site (www.journalpatientsafety.com).

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Throughout this article, we use the terms “excess patient harm” and “excess patient mortality” when referring to the analyzed data and preceding literature. When doing so, we are referring solely to harm above that which would be expected in an average National Health Service (NHS) hospital adjusted for the patient demographics of the hospital in question. We would like to emphasize that any harm caused by medical intervention is and should be considered excessive; however, for the purposes of this analysis, we are referring solely to relative incidence.

in combination is yet to be reported within the academic literature. One might logically conclude that such an endeavor would not be worthwhile, given the seemingly obvious connection between the variables reported within the GMC survey and patient mortality as reported by SHMI. However, this assumption is not borne out in evidence. Take physician experience for example, which, outside of periods of trainee rotation,¹¹ demonstrates no correlation with patient outcomes.¹² Measures of educational delivery such as curriculum development, and regional and local teaching are associated with physician performance but not patient outcomes.¹³ Procedural variables such as local induction and handover seem to demonstrate an association with patient mortality¹⁴ but heterogeneity in design and delivery prevents widescale extrapolation of reported data. Commonly referenced overarching themes of physician satisfaction such as clinical supervision, teamwork, workplace culture, and physician workload are associated with patient outcomes, but to variable degrees depending on the healthcare institution and the population in question.^{15–20} Thus, at a time of worsening workforce dissatisfaction within the context of increasing healthcare demand, it is of vital importance that we seek greater understanding of these relationships.

This review examines the relationship between SHMI and the 18 domains of physician trainee satisfaction reported within the GMC survey at a hospital level. Given the available evidence, we hypothesized that high levels of clinical supervision, clinical supervision out of hours, overall satisfaction, and teamwork would be negatively associated with excess patient mortality; for example, improved overall satisfaction within a hospital is likely to be associated with lower excess mortality. On the other hand, high reported workload would be positively associated with excess patient mortality. We hypothesized that the remaining 13 domains reported within the GMC survey would demonstrate no such association.

METHODS

Study Design

This was a cross-sectional study of 2 publicly available data sets.

Setting and Sample

A cross-sectional study was conducted using 2 large publicly available data sets to retrospectively explore how the published SHMI for 80 healthcare institutions in the United Kingdom was correlated with variables reported in the GMC survey at the same institutions.

The NHS Digital defines the SHMI as a ratio of “the actual number of patients who die following hospitalisation at the trust and the number that would be expected to die on the basis of average England figures, given the characteristics of the patients treated there.”

The 2018 GMC database contains 18 columns representing different “domains” of experience, which the GMC and other related bodies identify as relevant to trainee experience, listed in Table 1. Data from the survey are generated through Likert responses to a series of questions such as “How would you rate the quality of experience in this post.” Individual questions are collated to represent domains, and a scale (0–100) is generated for each, with high scores indicating positive trainee responses, except for “workload” where an ideal would score 50. For each year, variables are presented in columns, whereas each row denotes a department in a healthcare institution. In 2018, the GMC data set comprised 18 domains and 5718 rows (departments) in 490 different UK healthcare institutions: trusts, health boards, general practices, or private businesses.

TABLE 1. Pearson Correlation Coefficients of All 2018 GMC Domains With 2018 NHS Trust SHMI

	<i>r</i>	<i>P</i>
Adequate experience	−0.07	0.516
Clinical supervision	−0.34	0.002*
Clinical supervision out of hours	−0.47	<0.001*
Curriculum coverage	−0.1	0.379
Educational governance	−0.18	0.118
Educational supervision	−0.1	0.386
Feedback	0.08	0.506
Handover	−0.1	0.377
Induction	−0.07	0.517
Local teaching	−0.1	0.403
Regional teaching	0.14	0.226
Reporting systems	−0.14	0.208
Rota design	−0.29	0.008†
Satisfaction	−0.25	0.027†
Study leave	−0.18	0.109
Supportive environment	−0.17	0.128
Teamwork	−0.32	0.004†
Workload	0.02	0.835

Clinical supervision and clinical supervision out of hours were significantly correlated with SHMI after Bonferroni correction demonstrating a weak and moderate strength association, respectively. Before Bonferroni correction rota design, overall satisfaction and teamwork were also significantly correlated with SHMI demonstrating very weak, weak, and very weak associations, respectively.

*Statistically significant with Bonferroni correction (*P* = 0.0027778).

†Statistically significant without Bonferroni correction (*P* = 0.05).

Data Collection

As part of a larger ongoing retrospective observational study, multiple years of GMC (2012–2019) and SHMI (2015–2019) data was downloaded from www.gmc-uk.org⁹ and NHS Digital,¹⁰ respectively. Data from the SHMI are collected and published on a monthly rolling basis. The most recent year for which complete SHMI data were available at the outset of the project was 2018. The 2018 GMC data were derived from trainee responses, whereas the survey was open between March 20, 2018, and May 2, 2018.

Data Analysis

Data presented here are an exploratory analysis or “first look”; however, the entire data cleaning process of an ongoing project are described for completeness (Fig. 1). Data were initially reorganized from individual year summaries to by-year-trends per domain. In the 2018 data set, there were a possible 102,924 data points; however, 36,478 (35.4%) of these were missing, giving a total of 66,446 data points. In some cases, no data were available for entire departments; in other cases, just a single data point was missing. Most commonly, this is because no data are returned in the GMC survey if less than 3 respondents answer a question. All rows with missing data were then deleted so that each department retained had responses for all domains. A mean score for each GMC domain was then calculated for each healthcare institution.

Healthcare institutions with a score in every GMC domain were then matched to those with complete SHMI data from 2015 to 2019 producing 80 healthcare institutions with both complete GMC and SHMI data for analysis. The joint GMC/SHMI data set was then further analyzed.

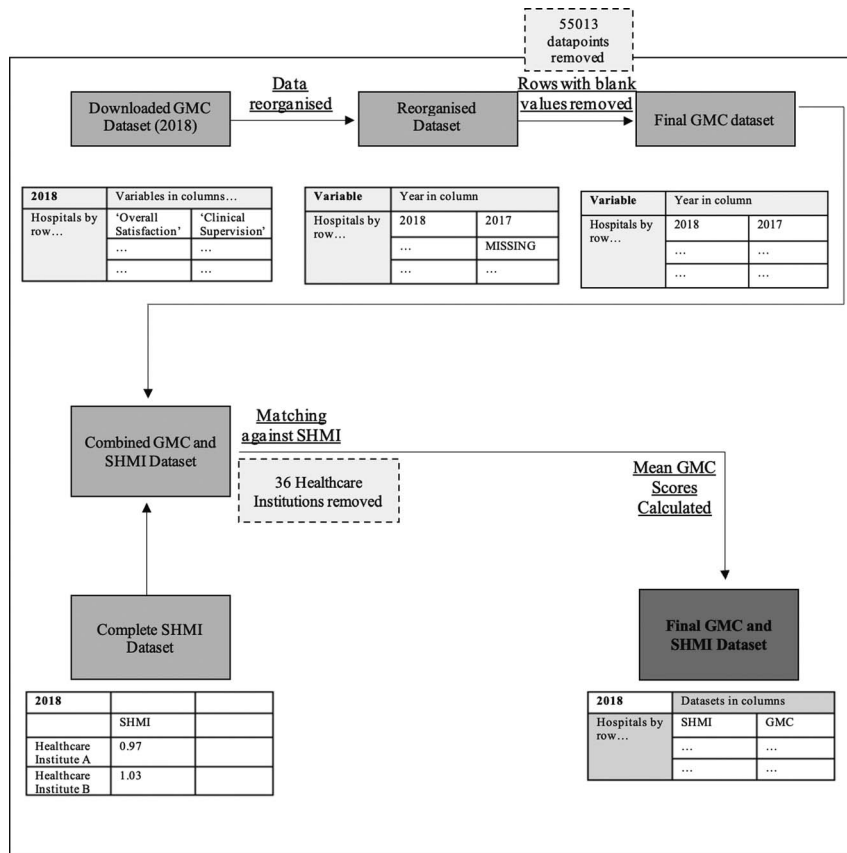


FIGURE 1. Diagram of data reorganization and cleaning process for 2018 GMC Survey and SHMI data.

Data processing was performed on Microsoft Excel (version 16.65 [Microsoft Corp, Redmond, WA]), the final data set was then transferred to Prism (version 9.1.2 [GraphPad Software, LLC, San Diego, CA]) for data analysis.

Descriptive statistics of the SHMI and each GMC domain were performed. Assumptions for normal distribution, linearity, homoscedasticity, and collinearity were met (supplemental data sheet, <http://links.lww.com/JPS/A524>, and supplementary figures, <http://links.lww.com/JPS/A525>, <http://links.lww.com/JPS/A526>, <http://links.lww.com/JPS/A527>, <http://links.lww.com/JPS/A528>). Pearson correlation coefficients were calculated between matched healthcare institutions' SHMI and the corresponding GMC ratings for each domain across that healthcare institution. After an initial analysis, a post hoc Bonferroni correction²¹ was applied to achieve a more conservative α level (0.00278). To reduce the likelihood of a type I error, the decision was made to perform a Bonferroni correction given the multiple statistical tests performed during the exploratory analysis. However, given the evidence base guiding our statistical hypothesis testing and the relative conservatism of the Bonferroni correction,²² significance levels both pre- and post-Bonferroni correction are presented to allow readers to interpret the data as they deem appropriate.

Given the data cleaning process resulted in the removal of SHMI data from 34 healthcare institutions, in an attempt to identify sources of bias, a Student 2-tailed independent *t* test was performed to ensure that the analyzed group was not atypical. Q-Q plots and comparison of included versus excluded GMC data and SHMI are also presented in Supplementary Figures A, <http://links.lww.com/JPS/A525>, B, <http://links.lww.com/JPS/A526>, and C, <http://links.lww.com/JPS/A527>.

Ethical Considerations

Given that all data downloaded and analyzed already exist within the public domain, ethical approval was not sought.

RESULTS

Healthcare Institution Characteristics

The final processed data set represented trainee responses from 80 healthcare institutions. The data contained mean responses from 60 different specialties across primary and secondary care (Fig. 2).

The mean (SD) SHMI for included healthcare institutions was 1.01 (0.1) with a range of 0.69 to 1.21 as demonstrated by Figure 3. Mean (SD) SHMI for excluded healthcare institutions was 0.98 (0.1) with a range of 0.73 to 1.19. There was no significant difference between those healthcare institutions included versus those excluded because of incomplete GMC results ($P = 0.16$).

GMC Domain Mean Scores

The mean (SD) GMC scores were 71.2 (10.84) with a range of 44.61 to 88.62. All mean scores for included GMC domains are displayed in Figure 4. Rota design had the lowest rating, with clinical supervision receiving the highest.

Correlation Between 2018 GMC Domain and 2018 SHMI

Pearson correlation coefficients can be found in Table 1. Statistically significant correlations were observed for the GMC survey

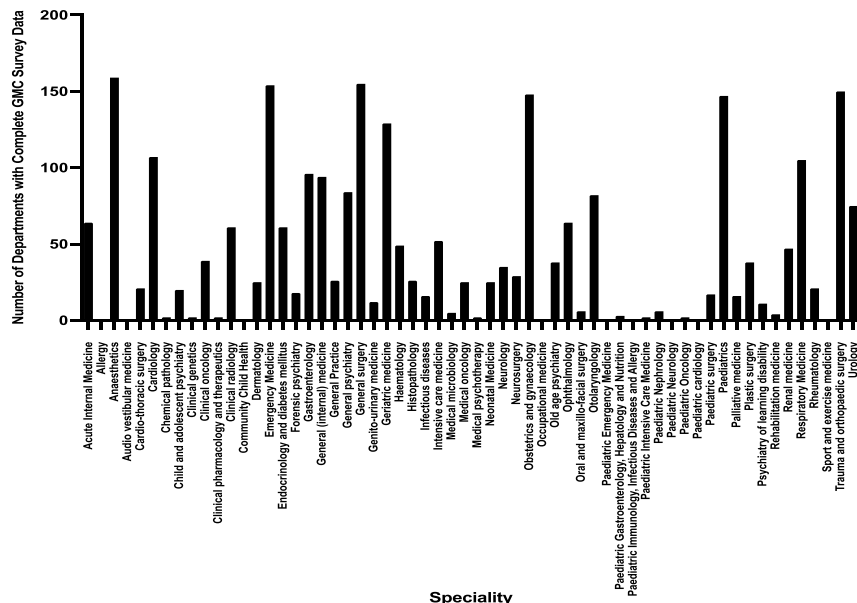


FIGURE 2. Number of departments per specialty submitting GMC data for included healthcare institutions. Median, 25; interquartile range, 61.25; minimum, 1 (allergy, audiovestibular medicine, community child health, occupational medicine, paediatric emergency medicine, paediatric immunology, infectious diseases and allergy, paediatric neurology, paediatric cardiology, sport and exercise medicine); maximum, 159 (anaesthetics).

domains of clinical supervision, clinical supervision out of hours, rota design, overall satisfaction, and teamwork. After application of Bonferroni correction ($\alpha = 0.00278$), statistically significant correlations remained for both clinical supervision and clinical supervision out of hours. Thus, lower reported scores for clinical supervision and clinical supervision out of hours were correlated with SHMI.

DISCUSSION

Physician trainees within the United Kingdom make up almost 50% of the hospital physician workforce, a majority of whom are also trainees on a recognized program.²³ Their role in acute and out-of-hour settings renders them well placed to observe the workings of the NHS as it manages the most critically ill patients. The most widespread and consistent way that observations from trainees on recognized programs are captured is the GMC survey. Through analyzing the GMC survey alongside SHMI, a well-recognized and universal reporting tool for relative hospital mortality, we have shown that some aspects of the annual GMC survey correlate with mortality indices for healthcare institutions. Lower perceived overall clinical supervision and clinical supervision out of hours are particularly correlated with SHMI, with other areas showing weaker correlations. Importantly, we have also demonstrated that other, commonly referenced domains of physician satisfaction such as physician workload, have little such correlation. Of course, such observations imply no causality to the relationships reported, but given the existing evidence, base one could make several suppositions with potentially important consequences on patient safety and healthcare delivery.

This is the first national, multicenter study to demonstrate an association between clinical supervision and clinical supervision out of hours with mortality. The observation that clinical supervision is associated with patient outcomes is not new.^{24,25} Martin et al²⁰ most recently summarized the international literature within the area, in doing so raising concerns regarding the external validity of available data given the heterogeneity in both definition and delivery of clinical supervision. Our data demonstrate a weak to

moderate association. This supports the supposition that subjective perception of physician trainee supervision likely has implications on, or is influenced by, patient mortality. Several recent reviews have suggested that more direct or close supervision, particularly for surgical physician trainees, reduced the rate of complications and patient mortality.^{19,24,25} However, extrapolating such data to suggest that greater intensity of clinical supervision is necessary for our more senior physician trainees is to neglect the potential training implications of doing so. It also fails to consider any potential reverse causality or confounding implicating the observed relationships. Tomlinson²⁶ insightfully discusses the need to separate educational and supportive supervision from more managerial and

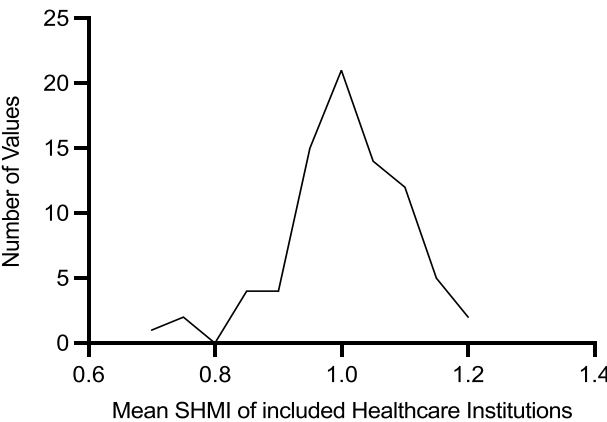


FIGURE 3. Frequency distribution of 2018 SHMI by NHS Trust. Median, 1.00; interquartile range, 0.05; minimum, 0.70; maximum, 1.21. The SHMI data demonstrated a marginally negative skew with 38 of the included healthcare institutions experiencing an SHMI <1 (i.e., experiencing lower than mean mortality relative to patient population characteristics) and 48 of the included healthcare institutions experiencing an SHMI >1 (i.e., experiencing a greater than mean mortality relative to the patient population characteristics).

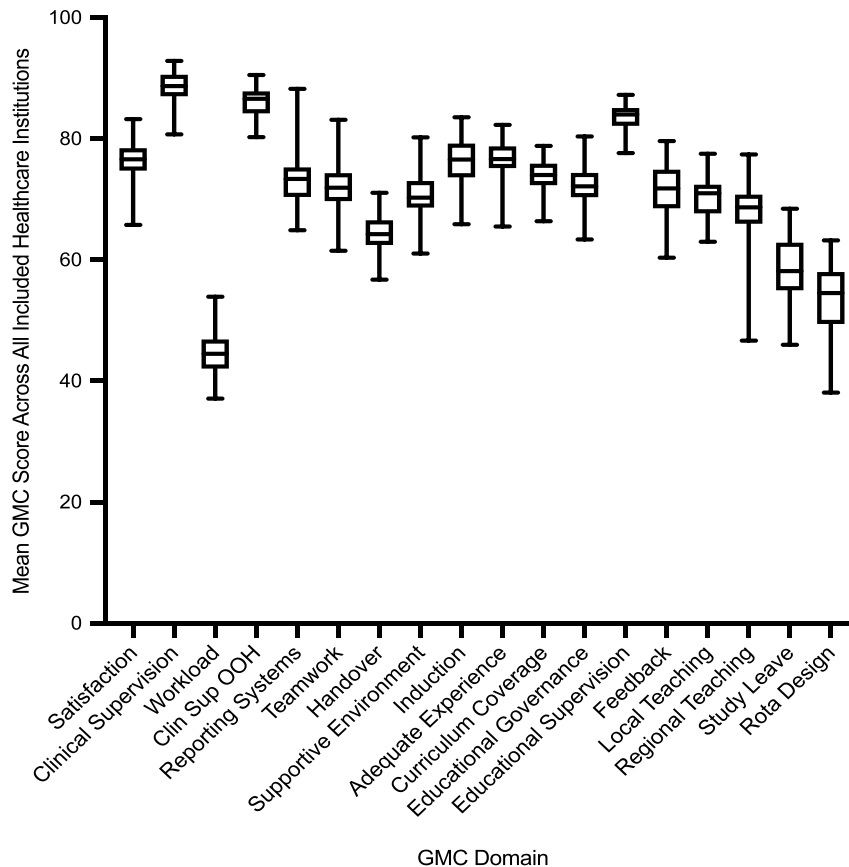


FIGURE 4. Mean 2018 GMC score for included healthcare institution by GMC domain. As demonstrated, a wide variance in GMC survey scores was reported. Notably clinical supervision (mean [SD], 88.63 [2.43]) and clinical supervision out of hours (mean [SD], 85.99 [3.19]) received the highest ratings and were most strongly correlated with SHMI as reported in Table 1.

administrative components. Unfortunately, our data are not sufficiently granular to allow us to examine these relationships in such detail. Thus, we cannot at this stage comment on the direction of causality either through examination of our data in isolation or when interrogating it alongside the academic literature.

We also observed a significant, weak association between rota design and SHMI, which was lost when applying Bonferroni correction. There is a well-established association between physician trainee working hours and medical error,^{27,28} yet the intricacies of rota design and implications on patient outcomes are poorly researched. A number of small-scale studies suggest that trainee perception of patient safety may improve with adaptations,²⁹ but there is a paucity of supporting international literature. It is not unlikely that subjective trainee physician reports of rota design are confounded by other variables such as workload. It would be prudent when examining longitudinal data to adjust for said confounders.

Interestingly, overall satisfaction was weakly associated with SHMI, with statistical significance lost after Bonferroni correction. It is challenging to contextualize these findings given that most published data focus instead on components, or extremes, of overall satisfaction. For example, burnout is strongly associated with patient safety,⁶ suggesting that extreme lows of physician trainee satisfaction are detrimental to patient care. However, we cannot say with confidence that there is a difference in patient outcomes when physician satisfaction is moderate instead of high. One may hypothesize that reverse causality is likely, with physician trainees exhibiting low satisfaction if their patient outcomes are worse. Our data do not support this hypothesis.

Evidence relating to the relationship between teamwork and patient mortality is relatively strong, particularly within surgical specialities.^{16,30,31} Our data may provide further evidence as we demonstrate a weak association between perceived teamwork and SHMI; however, the statistical significance of which was lost after Bonferroni correction. Examination of this relationship over multiple years data will allow us to confirm and/or contextualize this relationship further.

Our results do not show any relationship between several domains of the GMC survey and SHMI. Although this might be unsurprising for subjective quality of teaching and education, the finding that perceived workload was unrelated to SHMI seems counterintuitive. This also contradicts multiple reports suggesting the opposite.³² As workload tends to be the lowest rated component of the GMC survey, this literature has been used to guide workforce interventions in the NHS. Our findings suggest that perhaps these interventions are unlikely to have a widespread effect on mortality.

Notwithstanding the many limitations of this type of study (discussed hereinafter), the relationships highlighted provoke a response. Because those completing this survey are those most closely working with the most unwell patients in the NHS at a time when the service has fewer professionals on duty, it is likely that aspects of their experience are related to the same factors that affect mortality. It would be tempting to suggest that lack of clinical supervision might reduce the quality of care delivered, hence increasing mortality. However, if a patient were to die unavoidably, the attending team may feel undersupported^{33,34} and interpret this

within the clinical supervision domain irrespective of objective supervision provided. Similar issues may link domains such as teamwork and rota design with SHMI.

One response would be to take these findings and use them to enforce changes in training conditions so as to improve patient safety, for instance, ensuring 24-hour-a-day consultant presence. This would of course be inappropriate, as we are reporting an association. Also, few interventions within the workplace have been shown to be effective beyond improving the perceived intended parameter as opposed to affecting “real-world” patient-centered outcomes. Most projects examining medical error³⁵ and redesigning rotas²⁹ have only explored subjective outcomes. The exceptions, linking rota design with error in particular, are largely not relevant to current UK working practices.^{27,28} One such study unsurprisingly found that reducing working hours less than 80 per week and removing 24-hour continuous shifts improved sleep and reduced inattention, diagnostic, and other medical errors. Those exploring teamwork interventions have mainly reported improvements in process measures such as adherence to a checklist or time to cardiopulmonary resuscitation. Although there is significant evidence within surgical literature that checklists (such as the World Health Organization checklist) are effective at reducing error,³⁶ it is unclear how translatable they are to other areas of medicine.

Evidence on the effect of interventions attempting to improve clinical supervision in a range of settings has been mixed. A large systematic review from 2012,²⁵ itself responding to changes in the US residency program, found that, although supervisors might change diagnoses or have different approaches to patient care or surgery, there were few instances where this led to a measurable change in patient outcomes. A more recent intervention study exploring differential levels of supervision found no difference in the rate of medical errors, although interns spoke less and felt less autonomous when more supervised.³⁷

Increasing the availability or presence of senior clinicians especially “out-of-hours” may improve perceived clinical supervision but may also have obvious as well as unintended consequences. Cost, workforce planning, job satisfaction, and retention would be likely to suffer.^{38,39} Consultant presence may inhibit the development of independent decision making, paradoxically making patient safety worse and creating a skill gap for the future.

Further understanding of the association between perceived clinical supervision and SHMI is urgently needed. This GMC domain is likely to be either reflective of a healthcare delivery issue or a marker of something driving both suboptimal care and the feeling of poor supervision. An exploration of the relationships between actual supervision and perceived supervision, and what trainees value in supervision, especially out of hours will be important. Moving forward, a set of controlled interventions would be able to explore which best improved the supervision domain and patient safety outcomes. We acknowledge that any study is unlikely to demonstrate a clear immediate mortality reduction. There is also a need to investigate the same parameters in other staff groups, examining the subjective experience of doctors not currently within a training program, nursing staff, or other members of the multidisciplinary team. If the same findings are observed among other members of the multidisciplinary team in trusts with high SHMI, then one may argue that targeted investigation and intervention in such trusts may be needed.

LIMITATIONS

Despite concerns surrounding the validity of the GMC survey and SHMI as independent standalone metrics,^{40,41} through compiling, averaging, and comparing relative as opposed to change in absolute data across these 2 data sets, inaccuracies may be minimized.

However, reported findings are not without caveats. The GMC survey remains heavily influenced by uptake among departments and proportion of completion, and therefore, the number of physicians it represents varies year on year. It is at best a subjective snapshot of rotating trainee satisfaction. With many physicians moving between healthcare institutions, there is inevitably some spillover of experience in previous healthcare institutions or rotations that may be difficult to quantify. This is despite the timing of the survey being in the middle of a placement for most specialties. The effect of this would be to dilute differences between institutions. Furthermore, one must consider the unique nature of the training pathway provided for physician trainees within the United Kingdom and the system within which it is delivered. The external validity of our findings is debatable, even though they are in keeping with much of the international literature.

The process of cleaning and amalgamating the data set also led to some unavoidable shortcomings. First, it reduced the total number of physician responses by around 85%. Furthermore, during the process of cleaning and amalgamating the data, we were unable to weight the responses of individual departments, as there is no accessible information on the size of each department or number of respondents. To be included in the survey and ensure confidentiality, a department must supply more than 3 respondents. Small departments with poor response rates are at risk of not being included. However, by calculating a mean score for whole institutions, we have decreased the influence of trainees in large departments to the overall metric. Weighting departments in this manner may be a useful future endeavor; however, the practicality of achieving this on a national scale and the risk of potential confounding likely outweigh the benefit.

The Bonferroni correction applied may also be considered a limitation, given the not unlikely possibility that it masked true associations between GMC variables and SHMI, hence leading to our decision to present the data as such within the article. Readers are advised to consider this fact when interpreting the presented data.

Finally, the findings presented represent analysis of a single year's worth of data. Exploration of longitudinal data across different years may provide a useful means by which to consider trends and associated outcomes. There is also a prevalent need to attempt to identify, and control for, confounders within the data set as best as possible. The results observed may simply reflect Simpson's paradox because of unknown and unaccounted for confounding variables. We believe that these data at the very least provide an impetus for further research into these variables, in addition to further interrogation of any causal relationship between the associations reported.

CONCLUSIONS

There seems to be a significant association between domains of subjective trainee satisfaction and standardized patient mortality within the United Kingdom. Perceived experience in domains such as clinical supervision and clinical supervision out of hours is particularly associated with inpatient mortality. Conversely, some areas of the GMC survey that one may suspect to closely relate to outcomes, such as perceived workload, demonstrate no association.

These findings must be seen within the context of the many confounding factors and previous approaches to address preventable patient mortality within the NHS. They provide an urgent stimulus for further research into the mechanisms of these relationships to identify targets for interventions and to prevent avoidable patient mortality.

ACKNOWLEDGMENTS

The authors thank Professor Jaun Carlos Kaski and Dr Jeremy Isaacs for their feedback and critique of this article.

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